

# JPA Rules on Conflicts of Interest

## **(Purpose)**

### **Article 1**

The purpose of these Rules is to facilitate the Japanese Psychological Association (hereinafter referred to as “JPA”) to achieve social accountability, to appropriately promote industry-academia collaboration through proper conflicts of interest (COI) management, to promote scientific and fair research, and to share the results of such research with society, by ensuring transparency of COI status in the activities of the JPA Board of Directors and in every presentation or publication in JPA’s academic conferences or journals.

## **(Subject persons)**

### **Article 2**

Persons subject to the COI management under these Rules (hereinafter referred to as the “Subject Persons”) shall be as listed below.

- (i) JPA officers (i.e., President, Executive directors, Directors, and Auditors), the chair of the annual convention, the executive editors and the chief editors of the editorial boards of the Japanese Journal of Psychology and Japanese Psychological Research, and the executive editor of Psychology World.
- (ii) JPA administrative staff members.
- (iii) Persons who give presentations at JPA meetings including the annual convention and symposia.
- (iv) Contributors to the Japanese Journal of Psychology and Japanese Psychological Research.
- (v) Authors for Psychology World.

## **(Covered activities)**

### **Article 3**

These Rules shall apply to the following business activities conducted by JPA.

- (i) Holding of meetings including research workshops and lectures.
- (ii) Publication of academic journals, research reports, and other materials.
- (iii) Implementation of research and investigation.
- (iv) Academic research cooperation with academic societies in Japan.
- (v) International academic research cooperation.
- (vi) Encouragement of research and recognition of research achievements.
- (vii) Approval of qualifications and training.
- (viii) Other business activities necessary to achieve the purpose stated above.

## **(Definitions)**

### **Article 4**

The term “Conflict(s) of Interest” or “COI” as used in these rules means any situation in which some personal financial interest obtained by a Subject Person prescribed in Article 2 from any companies,

profit-making organizations, or the like being contradictory to or incompatible with the activities prescribed in Article 3. The specific criteria for determination of the presence of COI are as follows:

- (i) The Subject Person is an officer, advisor, or employee of a company, organization, or other entity and annually receives remunerations from a single company or organization totaling one million yen or more.
- (ii) The Subject Person annually earns one million yen or more in total from stockholding (the sum of dividends and profit on sale) from a single company, or holds 5% or more of all shares of a single company.
- (iii) The Subject Person receives patent royalties from companies and/or profit-making organizations totaling one million yen or more.
- (iv) The Subject Person annually receives remunerations, including per-diem allowances and lecture fees, paid by a single company or profit-making organization totaling a half million yen or more.
- (v) The Subject Person annually receives manuscript fees for publications, including brochures, from companies or profit-making organizations totaling one million yen or more.
- (vi) The Subject Person conducts a research project funded by companies or profit-making groups with support totaling two million yen or more for the single research project.
- (vii) The department (course, field, laboratory, or other unit) to which the Subject Person belongs and which accepts a portion of a scholarship donation from a single company or profit-making organization annually receives payments totaling two million yen or more from the donating company or profit-making organization.
- (viii) The Subject Person belongs to any endowed course provided by a company or any other entities.
- (ix) The Subject Person annually receives other remuneration (remuneration including travel and gifts which are not directly related to the Subject Person's research activities) totaling 100,000 yen or more.

#### **(Establishment and role of the Committee on COI)**

##### **Article 5**

In order to achieve the purpose described in Article 1, a COI Committee (hereinafter referred to as the "Committee") shall be established in JPA.

- (2) The composition and the term of office of the Committee members shall be specified separately.
- (3) The Committee shall deliberate on the following topics.
  - (i) Matters where COI status is called into question.
  - (ii) Matters where inadequate COI declaration is called into question, including a failure of declaration.
  - (iii) Matters that are proposed by officers or equivalent.
  - (iv) Matters that are proposed by executive editors.
  - (v) In addition to the topics cited in the preceding items, important matters regarding COI.
- (4) The Committee may conduct interviews and other investigations to determine the COI status of the person concerned.
- (5) The Committee Chair shall report the results of the Committee's deliberations to the JPA President (hereinafter referred to as the "President").

### **(Responsibilities of the President)**

#### **Article 6**

The President shall supervise COI management at JPA. The President shall take appropriate measures for proper COI management upon deliberation of the Board of Executive directors.

### **(Responsibilities of officers)**

#### **Article 7**

The JPA officers (President, Executive directors, Directors, and Auditors), the executive editors of the editorial boards of the Japanese Journal of Psychology, Japanese Psychological Research, and Psychology World, the chair of the annual convention of the relevant year, and the administrative staff members (i.e., persons that fall under (i) and (ii) of Article 2) shall declare their COI status to the President by the prescribed method at the time of assuming office, if they meet any of the Article 4 criteria during the year before they assume their positions. In addition, if any new COI status arises after assuming office, the officer shall amend his/her declarations.

### **(Responsibilities of presenters)**

#### **Article 8**

When giving presentations at JPA meetings, including the annual convention, lectures, and symposiums, or when giving presentations using JPA's name, the member shall correctly declare the COI status concerning the implementation of the relevant research by the prescribed method and follow the instructions of the persons in charge (the chair of the annual convention, the Executive director in charge, or other relevant persons). If the organization that the concerned person belongs to is different from the organization for which the person conducts research, the names of both organizations shall be specified.

### **(Responsibilities of the convention chair or equivalent)**

#### **Article 9**

The person responsible for JPA's annual convention (i.e., the convention chair or the equivalent if any) shall verify any concerns about violations of these Rules related to research presentations, if any. If a violation is confirmed, the person shall take corrective measures such as instructing the presenter to rewrite the contents of his/her presentation or prohibiting/cancelling the presentation with the confirmed violation.

(2) In such a case, the relevant presenter shall be promptly notified to that effect with the reasons thereof.

(3) The person responsible for the annual convention prescribed above shall report to the Committee when such measures are taken.

### **(Responsibilities of contributors to The Japanese Journal of Psychology and Japanese Psychological Research)**

#### **Article 10**

Persons who contribute to the Japanese Journal of Psychology or Japanese Psychological Research shall declare their COI status concerning the implementation of the relevant research by the prescribed method and follow the instructions of the concerned executive editor of the editorial board.

**(Responsibilities of the executive editor of the editorial board)**

**Article 11**

The editorial board executive editor shall verify any concerns regarding violations of these Rules related to publication of research, if any. If a violation is confirmed, the executive editor shall take measures such as instructing the contributor to rewrite his/her COI status or prohibiting publication of the article with the confirmed violation.

(2) In such a case, the relevant contributor shall be promptly notified to that effect with the reasons thereof.

(3) If any article turns out to violate these rules after publishing, that information shall be announced in the relevant publication in the name of the executive editor and measures such as cancellation of the article shall be taken.

(4) The executive editor shall report to the Committee when such measures are taken.

**(Responsibilities of authors and other contributors)**

**Article 12**

Authors, creators, and other contributors of articles requested by JPA to be published in its media including Psychology World, JPA web pages, and the Psychology Museum shall declare their COI status concerning the article content by the prescribed method and follow the instructions of the relevant editorial board executive editor or the Executive director in charge.

**(Responsibilities of Executive directors)**

**Article 13**

The Executive directors shall verify that JPA business in which each Executive director is involved is carried out in compliance with these Rules. If any violation of these Rules is confirmed, the Executive directors shall promptly consider corrective measures to rectify the situation.

(2) In such a case, those who are involved with the violation shall be promptly notified to that effect with the reasons thereof.

(3) The person responsible for the business with the confirmed violation shall report to the Committee when such measures are taken.

**(Measures against violators)**

**Article 14**

The President may, if he/she determines that there is a serious violation as a result of deliberations by the Board of Executive directors upon reports from the Committee, take all or part of the following measures for a certain period of time, depending on the extent of the violation.

(i) Prohibition of presentations at all lectures held by JPA.

(ii) Prohibition or cancellation of publication of articles by JPA publications.

- (iii) Prohibition on assumption of office, or suspension or dismissal from any of the following positions: JPA officers (President, Executive directors, Directors, and Auditors), the executive editors of the editorial board of the Japanese Journal of Psychology, Japanese Psychological Research or Psychology World, and the chair of the annual convention of the relevant year.
- (iv) Suspension of attendance to JPA meetings including Board of Directors' and committee meetings.
- (v) Suspension, expulsion, or prohibition of admission to the JPA membership.

#### **(Petition of objection)**

##### **Article 15**

Persons subject to any of measures prescribed above may file an objection with the JPA. On receiving such objection, the President shall promptly establish a judging committee (an ad hoc advisory committee) and leave the judgement to the committee. The President will notify the petitioner of the results after deliberation of the review results reported by the Committee at a Board of Executive directors meeting.

#### **(Achievement of social accountability)**

##### **Article 16**

When having determined that there is a serious violation of these Rules, after deliberation by the Board of Executive directors, JPA shall promptly disclose such violation in order to fulfill its accountability to the public.

#### **(Establishment of detailed rules)**

##### **Article 17**

JPA may establish detailed rules necessary for the implementation of these Rules.

#### **(Amendment of rules)**

##### **Article 18**

These Rules may be amended after deliberation by the Board of Executive directors.

#### **Supplementary Provisions**

These Rules are established on January 23rd, 2021 and are effective as of April 1st, 2021.